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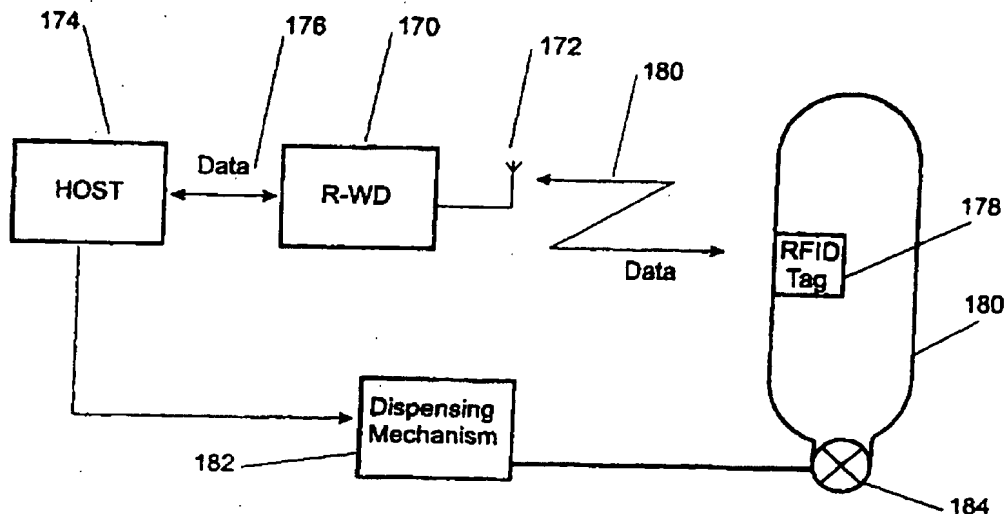
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(54) Title: A DEVICE FOR INHIBITING USE OF NON-PROPRIETARY CONSUMABLES



(57) Abstract: An apparatus for preventing the use of unauthorised disposable or consumable components (180) and allowing use of authorised disposable or consumable components (180) in conjunction with scientific apparatus (170, 174) where each authorised disposable or consumable has an associated transponder (178). If the apparatus does not receive an authorised transponder (178) it will prevent the use of the consumable component (180).

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"A DEVICE FOR INHIBITING USE OF NON-PROPRIETARY CONSUMABLES"

TECHNICAL FIELD

This invention relates to the use of an article identifier, particularly though not solely, for inhibiting the use of non proprietary consumables.

BACKGROUND ART

In the scientific field there are a number of applications where manufacturers initially sell scientific equipment on the basis that they will subsequently continue to sell consumables in relation to and for use with that equipment. However there are a number of instances where other parties have subsequently entered the market and produced a variant on the proprietary consumables. This raises a number of issues for which it may be desirable that the proprietor be able to inhibit the use of any such unauthorised consumables.

Generally, the proprietor has no control over the quality of any of the consumables sold by its competitors. Thus in particularly critical applications such as medical equipment or chemical analysers where quality assurance of the entire system is critical, the use of non proprietary consumables may reduce the level of confidence in quality, and possibly even safety, of the equipment used. Therefore from the proprietor's perspective it would be desirable that the integrity of the equipment is preserved and to this end that the use of non proprietary consumables be inhibited.

DISCLOSURE OF THE INVENTION

It is an object of the present invention to provide an article identifier which goes some way towards meeting the above-mentioned desideratum or which will at least provide the public with a useful choice.

In a first aspect the present invention may be broadly said to consist in an apparatus for preventing use of unauthorised disposable or consumable components and allowing use of authorised disposable or consumable components in conjunction with scientific apparatus comprising:

identification means associated with said authorised disposable or consumables,
sensing means associated with said scientific apparatus, and

control means which inhibits the operation of said scientific apparatus unless

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Preferably said control means includes a processor programmed with a stored program and either said identification means or said control means includes one or more registers, said program when executed on said processor causes said control means to carry out the steps of:

- 5 1) establishing communication between said control means and said identification means and if this is not successfully completed, halting the program and returning a negative result;
- 2) interrogating one or more registers in said identification means or said control means and using the information contained therein to predict
10 whether the component said identification means is associated with, is in an acceptable state for use with said scientific apparatus and if not, halting the program and returning a negative result;
- 3) halting the program and returning a positive result.

15 Preferably said program is executed every time said scientific apparatus is operated and wherein said control means will inhibit the operation of said scientific apparatus unless a positive result is returned from said program.

Preferably said identifier means comprises an interrogatable radio frequency identification device.

20 Preferably said scientific apparatus comprises a chemical analyser and said disposable or consumable components comprise at least one reagent.

Alternatively said medical or analytical apparatus comprises a medical laser system and said disposable components comprise optical fibres.

25 In a further alternative said scientific apparatus comprise intra vascular diagnostic equipment adapted for internal diagnosis and treatment of a patient, and said disposable or consumable components comprise catheter means which in use conveys a portion of said vascular diagnostic equipment into the vascular cavity of said patient.

30 In a further alternative said scientific apparatus comprise tissue processing equipment including slide means adapted to support said tissue in use and said disposable or consumable components comprise fixative fluids or solvents which in use fix the said tissue to said slide.

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In a further alternative said scientific apparatus comprise tissue analysing equipment including slide means adapted to support said tissue in use and said disposable or consumable components comprise slide staining means which are adapted to in use stain the said tissue.

5 In a further alternative said scientific apparatus comprise haemodialysing equipment adapted to filter the blood of a patient and said disposable or consumable components comprise a dialysis unit including a filter which in use removes waste products from said patient's blood which is circulated by said haemodialysing equipment.

10 In a second aspect the present invention may be broadly said to consist in a chemical analyser comprising:

a reagent dispenser including a reagent vial,
identification means associated with said reagent vial,
sensing means associated with said chemical analyser, and
15 control means which inhibits the operation of said chemical analyser unless said sensing means detects said identification means.

In a third aspect the present invention may be broadly said to consist in a medical laser system for treating a patient comprising:

laser generating means,
20 optical means for conveying said laser from said laser generating means to said patient,
identification means associated with said optical means,
sensing means associated with said medical laser system,
control means which inhibits the operation of said medical laser system unless
25 said sensing means detects said identification means.

In a fourth aspect, the present invention may be broadly said to consist in an apparatus for preventing use of unauthorised disposable or consumable components and allowing use of authorised disposable or consumable components in conjunction with scientific apparatus comprising:

30 identification means associated with said authorised disposable or consumables,

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sensing means associated with said scientific apparatus, and
control means programmed with stored instructions comprising the steps of:

- 1) establishing communication between said control means and said identification means and if this is not successfully completed, inhibiting the operation at said scientific apparatus and halting.
- 2) predict whether the component said identification means is associated with, is in an acceptable state for use with said scientific apparatus based on information stored in either said identification means or said control means and if not, inhibiting the operation of said scientific equipment and halting.
- 3) permitting the operation of said scientific equipment.

Preferably said identifier means comprises an interrogatable radio frequency identification device.

Preferably said scientific apparatus comprises a chemical analyser and said disposable or consumable components comprise at least one reagent.

Alternatively said medical or analytical apparatus comprises a medical laser system and said disposable components comprise optical fibres.

In a further alternative said scientific apparatus comprise intra vascular diagnostic equipment adapted for internal diagnosis and treatment of a patient, and said disposable or consumable components comprise catheter means which in use conveys a portion of said vascular diagnostic equipment into the vascular cavity of said patient.

In a further alternative said scientific apparatus comprise tissue processing equipment including slide means adapted to support said tissue in use and said disposable or consumable components comprise fixative fluids or solvents which in use fix the said tissue to said slide.

In a further alternative said scientific apparatus comprise tissue analysing equipment including slide means adapted to support said tissue in use and said disposable or consumable components comprise slide staining means which are adapted to in use stain the said tissue.

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In a further alternative said scientific apparatus comprise haemodialysing equipment adapted to filter the blood of a patient and said disposable or consumable components comprise a dialysis unit including a filter which in use removes waste products from said patient's blood which is circulated by said haemodialysing equipment.

To those skilled in the art to which the invention relates, many changes in construction and widely differing embodiments and applications of the invention will suggest themselves without departing from the scope of the invention as defined in the appended claims. The disclosures and the descriptions herein are purely illustrative and are not intended to be in any sense limiting.

The invention consists in the foregoing and also envisages constructions of which the following gives examples.

BRIEF DESCRIPTION OF DRAWINGS

One preferred form of the present invention will now be described with reference to the accompanying drawings in which;

Figure 1 is a block diagram of the present invention according to the first preferred embodiment,

Figure 2 is a flow diagram of the control strategy according to the present invention,

Figure 3 is a block diagram of the electronic apparatus according to the present invention, and

Figure 4 is a block diagram of the present invention according to the second preferred embodiment.

MODES FOR CARRYING OUT THE INVENTION

The present invention provides a means for controlling the use of consumable or disposable components for use with scientific equipment. In the preferred embodiment the present invention will prohibit operation of the scientific equipment where the consumable or disposable components are not authorised and therefore do not include an electronic identifier. This system has flexibility in that where such components may be used several times before being discarded, the electronic identifier

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can keep track of how many times each component has been used and when depleted it will bar operation of the device until the component in question is replaced with a new authorised component.

5 One application where the present invention is of particular advantage is chemical analysers which use a consumable chemical reagent each time particular substance is tested for the presence or absence of a particular chemical. A chemical analyser such as would be appropriate for use with the present invention is shown in Figure 1 . It is seen that the analyser 110 comprises a number of generic components including the reagent dispenser 112, a carousel 114, test vessels 116 and a testing and
10 analysis stage 118. The reagent dispenser 112 includes a removable vial 120 which is filled with bulk reagent 122.

In the preferred embodiment of the present invention the vial 120 includes an electronic identifier 124 integrated into the neck 126. It will be appreciated however that the electronic identifier 124 could be located anywhere in association with the vial
15 112 and in fact could be of the form of a smart card type device independent of the vial which is recharged when the reagent vial is refilled from a authorised stockist.

Control Methodology

Whatever the application, the interrogation of the identifier to complete the authorisation process will follow an essentially generic path. Figure 2 illustrates this
20 process in the form of a flow diagram. Whenever the apparatus in question starts up the authorisation algorithm runs through and if successful then the operation of the apparatus proceeds.

The first step is the application of the RF field 150 to initiate communications with the identifier. It will be appreciated that the content of this first step is dependent
25 on the method of identification that is used. The RF field is applied for a set period 152 waiting for a response from the identifier. If one is not received within this period, the algorithm halts 154 with a negative result. If communication is established, the first register in the identifier is interrogated 156. This contains a date value relating to the device's "use by" date. If the "use by" date has passed, the device is deemed expired,
30 and the algorithm halts 158 with a negative result. If not, the second register is

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interrogated 160, which contains information on the remaining use allowed for the device. If no use remains the device is deemed depleted and the algorithm halts 162 with a negative result. If there is remaining use, the use counter is decremented by one or more units 164, and the algorithm halts with a positive result 166.

5 It will be appreciated that the operation of the apparatus is conditional on the return of a positive result from the authorisation algorithm. Further where there is a negative result specific error codes could be returned allowing messages to be displayed to the user informing them of the problem and possible solutions.

Component Identifier

10 In the preferred embodiment of the present invention the identifier is in the form of a electronic radio frequency identification (RFID) interrogatable device. RFIDs use wireless communication to transmit and receive data from a transceiver to a transponder and where the transponder itself may only be readable or read writable where instructions are to be passed in both directions. Referring now to Figure 3 the
15 transceiver 170, including an antenna 172 or a coil, is a preferred embodiment of the present invention integrated with the chemical analyser in closer proximity to where the reagent vial is situated. The transceiver 170 is controlled by either a separate microcontroller 174 specifically for the authorisation process or where the analyser has its own micro controller it can be controlled by that. However the transceiver is
20 controlled, there will still need to be some form of data link 176 to allow the overall operation of the analyser to be inhibited if the authorisation process is not correctly completed.

The transponder 178 or RF tag as they are sometimes known will be located somewhere on the reagent vial 180 as already described. There are a number of
25 methods of transferring information from the transponder to the transceiver. In the preferred embodiment for the present invention, radio frequency propagation 180 in the range 10-15 MHZ is used for communication between the transceiver and transponder. This provides access to well-proven technology on a mass produced scale and a good data transfer rate. It will be appreciated that while one method is described for the
30 preferred embodiment of the present invention, many other methods will be equally

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possible eg: infrared or laser signalling, inductive coupling, capacitative coupling, electrostatic methods, direct electrical connection and, for that matter, a simple device such as barcode and scanner could be utilised in variations on the present invention.

5 The previously described algorithm is executed on the apparatus controller 174, which in turn controls the dispensing mechanism which may in turn activate a valve 184 to control the reagent dispensation.

10 The transponder itself may be embodied in any one of a number of forms. It may simply include an authentication code to ensure it has been sourced from an authorised source or may also include one or more registers which store any manner of information in relation to the apparatus. As indicated in the foregoing description, information on the use-by date of the current stock of reagents might be stored in order to ensure that once it has passed this expiry date it is prevented from being used. Further, such registers may be used to store the amount of reagent used to date and therefore to indicate how much reagent remains and therefore when the file is empty.

15 **Further Applications**

As already mentioned the present invention is applicable to scientific equipment in general. Another application in which such a device would be particularly useful is with disposable medical articles. One example is fibre optic strands used with a surgical laser system, where the fibres are inserted internally into the body. It will be appreciated however that many similar applications exist and this application is only given by way of example.

20 Referring now to Figure 4, a surgical laser system is illustrated which is adapted for use with the present invention. The laser beam itself is initiated in the generator module 200 which is controlled by the control and display module 202. The laser beam is channelled through a fibre optic strand 204 which is protected with an outer semi-rigid sheath. The internal positioning of the strand 204 within a patient 206 is controlled by a hand held controller 208 which adjusts both lateral and proximity positioning of the open end of the strand 204.

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The strand 204 itself, as it is exposed to bodily fluids, must either be disposed of and replaced after every use, or thoroughly disinfected. Quality and safety demands necessitate that disposal is the preferred alternative.

5 As previously mentioned, it is preferable that where disposable components are to be used they be of dependable levels of quality and safety. To this end, the present invention provides a means of ensuring only components from authorised sources can be used with the surgical laser system.

10 As with applications in the chemical analyser previously described, an identifier is associated with each disposable component. In practice, an identifier is integrated into the connector 210 at the point where the strand is attached to the generator module 200.

Using the method previously described, the operation of the laser is conditional on the correct authorisation process being completed between an interrogator integrated in the generator module 200 and the identifier associated with the strand 204.

15 Another application might be where heart disease is investigated and treated with catheters that are inserted into the vascular system and directed into the heart. In many cases the catheters carry electrical conductors that allow the electrical events associated with cardiac contraction to be observed and recorded by equipment (for example an electrocardiograph). In some cases the catheters carry conductors or radio
20 frequency wave-guides that allow electromagnetic energy to be conveyed to the heart for treatment purposes. The present invention might be incorporated within the electrocardiograph or within a source of rf energy in order to inhibit the reuse of disposable catheters.

25 In the case of processing of tissue specimens in automated processors, a variety of fixative fluids and solvents are employed so that they can subsequently be transformed into microscopic slides. The present invention might be incorporated within the processor and the various fluid containers to control the use of non-proprietary solvents.

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5 In a similar vein a variety of biological specimens, including tissue, blood, and other body fluids are applied to a glass substrate ("slide") and examined microscopically after the specimens have been stained. Various devices exist to stain the slides. In one application of the present invention the device could be incorporated within the automated stainer and the containers that store the stains to control the use of non-proprietary stains or reagents.

10 Patients with renal disease may be treated with extra-corporeal haemodialysis. Haemodialysers pump blood from the patient through a dialysis unit that removes waste products from the blood, after which the blood is returned to the patient. The dialysis units are frequently designed to be disposable, but in some cases have been cleaned and reused, with subsequent injury to the patients. The present invention could be incorporated within the haemodialyser to inhibit reuse.

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CLAIMS:

1. An apparatus for preventing use of unauthorised disposable or consumable components and allowing use of authorised disposable or consumable components in conjunction with scientific apparatus comprising:

identification means associated with said authorised disposable or consumables, sensing means associated with said scientific apparatus, and

control means which inhibits the operation of said scientific apparatus unless said sensing means detects said identification means.

2. An apparatus as claimed in claim 1 wherein said control means includes a processor programmed with a stored program and either said identification means or said control means includes one or more registers, said program when executed on said processor causes said control means to carry out the steps of:

- 1) establishing communication between said control means and said identification means and if this is not successfully completed, halting the program and returning a negative result;
- 2) interrogating one or more registers in said identification means or said control means and using the information contained therein to predict whether the component said identification means is associated with, is in an acceptable state for use with said scientific apparatus and if not, halting the program and returning a negative result;
- 3) halting the program and returning a positive result.

3. An apparatus as claimed in claim 2 wherein said program is executed every time said scientific apparatus is operated and wherein said control means will inhibit the operation of said scientific apparatus unless a positive result is returned from said program.

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4. An apparatus as claimed in any one of claims 1 to 3 wherein said identifier means comprises an interrogatable radio frequency identification device.
5. An apparatus as claimed in any one of claims 1 to 4 wherein said scientific apparatus comprises a chemical analyser and said disposable or consumable components comprise at least one reagent.
6. An apparatus as claimed in any one of claims 1 to 4 wherein said medical or analytical apparatus comprises a medical laser system and said disposable components comprise optical fibres.
7. An apparatus as claimed in any one of claims 1 to 4 wherein said scientific apparatus comprise intra vascular diagnostic equipment adapted for internal diagnosis and treatment of a patient, and said disposable or consumable components comprise catheter means which in use conveys a portion of said vascular diagnostic equipment into the vascular cavity of said patient.
8. An apparatus as claimed in any one of claims 1 to 4 wherein said scientific apparatus comprise tissue processing equipment including slide means adapted to support said tissue in use and said disposable or consumable components comprise fixative fluids or solvents which in use fix the said tissue to said slide.
9. An apparatus as claimed in any one of claims 1 to 4 wherein said scientific apparatus comprise tissue analysing equipment including slide means adapted to support said tissue in use and said disposable or consumable components comprise slide staining means which are adapted to in use stain the said tissue.
10. An apparatus as claimed in any one of claims 1 to 4 wherein said scientific apparatus comprise haemodialysing equipment adapted to filter the blood of a patient

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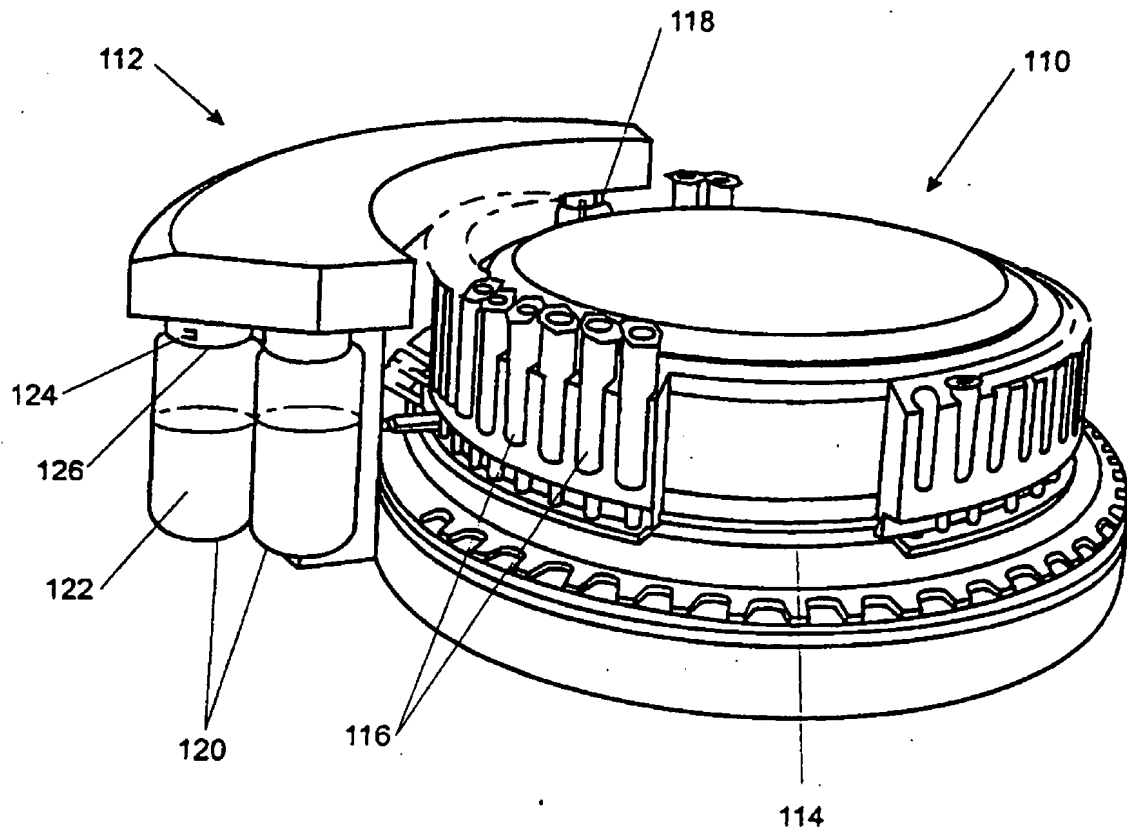
and said disposable or consumable components comprise a dialysis unit including a filter which in use removes waste products from said patient's blood which is circulated by said haemodialysing equipment.

- 5 11. A chemical analyser comprising:
 a reagent dispenser including a reagent vial,
 identification means associated with said reagent vial,
 sensing means associated with said chemical analyser, and
 control means which inhibits the operation of said chemical analyser unless said
10 sensing means detects said identification means.
12. A medical laser system for treating a patient comprising:
 laser generating means,
 optical means for conveying said laser from said laser generating means to said
15 patient,
 identification means associated with said optical means,
 sensing means associated with said medical laser system,
 control means which inhibits the operation of said medical laser system unless
 said sensing means detects said identification means.

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**FIGURE 1**

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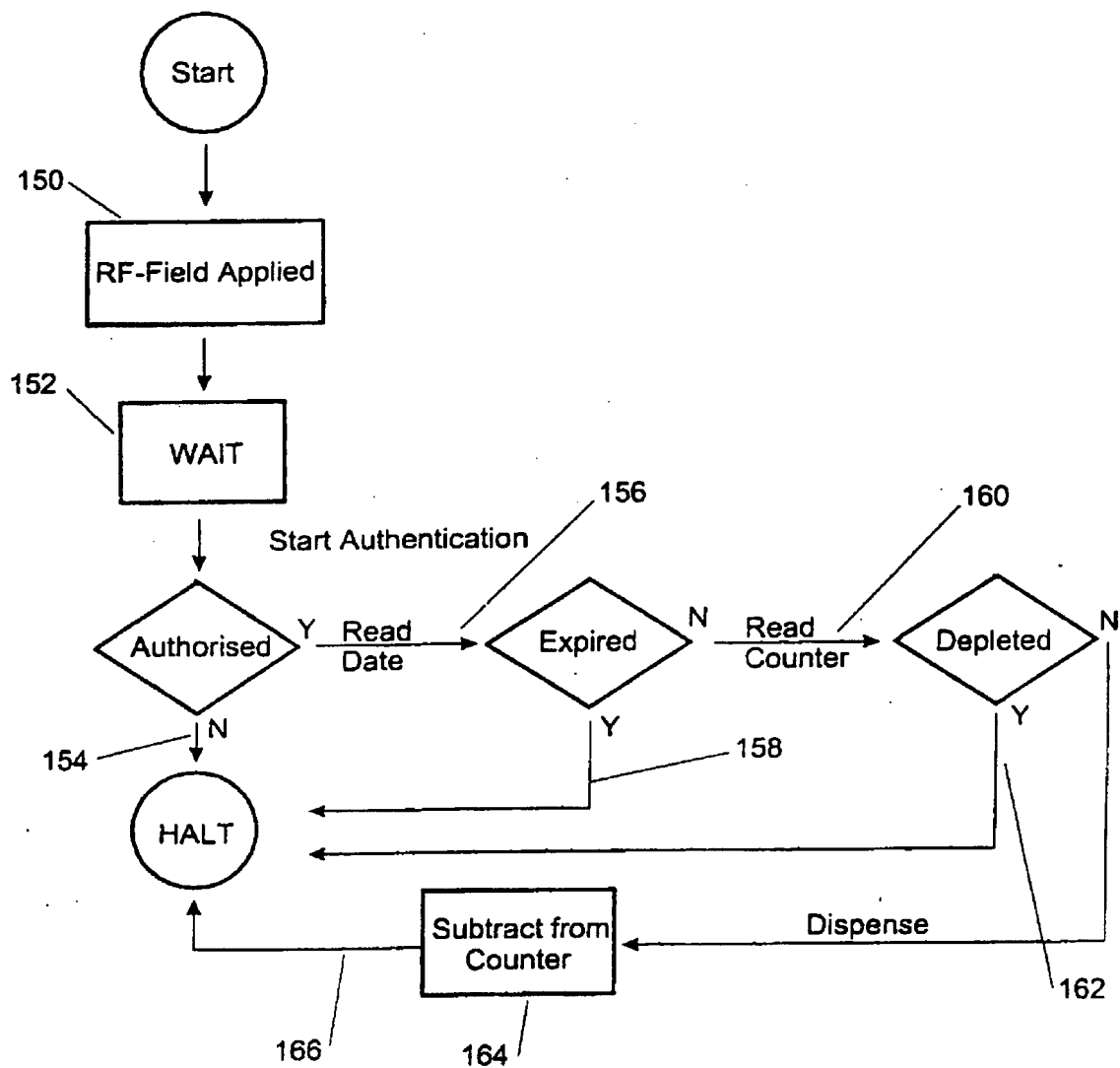


FIGURE 2

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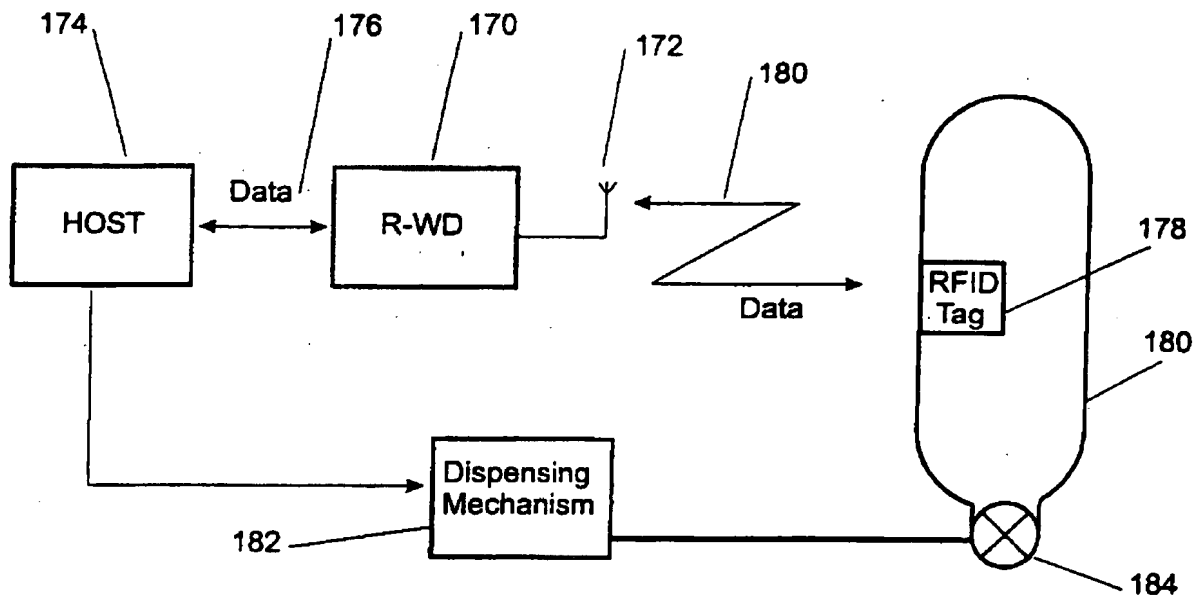


FIGURE 3

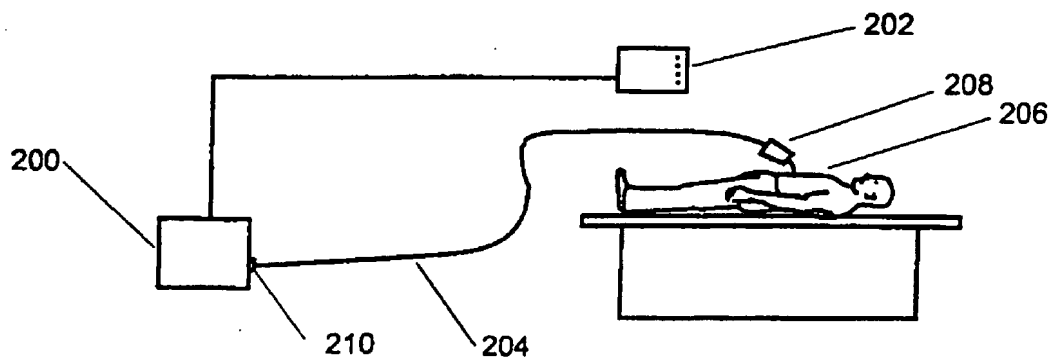


FIGURE 4

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INTERNATIONAL SEARCH REPORT

International application No.
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A. CLASSIFICATION OF SUBJECT MATTER				
Int Cl ⁷ : G01V 15/00, G06K 7/00, G08B 29/00				
According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols) IPC G01V 15/00, G06K 7/00, 9/00, 11/00, 19/00, G07B 11/00, G08B 29/00, G09F 9/00				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched AU: IPC as above				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) DWPI and JAPIO				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	US 5652803 A (TACHIKAWA et al) 29 July 1997 col 2, lines 10-44	1-3		
X	US 5647010 A (OKUBO et al) 8 July 1997 Abstract, col 2, lines 1-14, col 17, lines 25-53	1-3		
X	US 5216724 A (SUSUKI et al) 1 June 1993 col2, lines 25-33, col 4, lines 42-61; col 12, lines 38-53	1-3		
<input checked="" type="checkbox"/>	Further documents are listed in the continuation of Box C			
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<p>* Special categories of cited documents:</p> <table border="0"> <tr> <td style="vertical-align: top;"> <p>"A" Document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </td> <td style="vertical-align: top;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&" document member of the same patent family</p> </td> </tr> </table>			<p>"A" Document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&" document member of the same patent family</p>
<p>"A" Document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&" document member of the same patent family</p>			
Date of the actual completion of the international search 03 November 2000		Date of mailing of the international search report 10 NOV 2000		
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200 WODEN ACT 2606 AUSTRALIA E-mail address: pct@ipaaustralia.gov.au Facsimile No.: (02) 6285 3929		Authorized officer M.E. DIXON Telephone No.: (02) 6283 2194		

INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 00/01149**C (Continuation).****DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 91/10971 A (UTVECKLINGS AB JONIC) 25 July 1991 page 2, line 27 - page 3, line 11	1
A	WO 94/08867 A (HARTEK S.A.) 28 April 1994	

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.
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Patent Document Cited in Search Report		Patent Family Member		
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US	5647010	DE 4432741 CA 2009699 JP 2210481 US 6128401 JP 2288408	JP 7087309 EP 382549 US 5426710 JP 2210591	JP 7273984 EP 665477 US 5621810 JP 2284189
WO	91/10971	AU 51049/90 NO 922780 EP 890930	BR 9007977 US 5350907	EP 511204 CA 2073449
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